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Reduced context effects on retrieval in first-episode schizophrenia

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Abstract

Background

A recent modeling study by the authors predicted that contextual information is poorly integrated into episodic representations in schizophrenia, and that this is a main cause of the retrieval deficits seen in schizophrenia.

Methodology and findings

We have tested this prediction in patients with first-episode schizophrenia and matched controls. The benefit from contextual cues in retrieval was strongly reduced in patients. On the other hand, retrieval based on item cues was spared.

Conclusions and significance

These results suggest that reduced integration of context information into episodic representations is a core deficit in schizophrenia and one of the main causes of episodic memory impairment.

1 **Introduction**

2

3 Memory is regarded as one of the major areas of cognitive deficit in schizophrenia.
4 Particularly pronounced impairments are observed in episodic memory [1-3]. They include
5 moderate to severe deficits in free recall, lesser ones in cued recall and a small, but significant,
6 deficit in recognition [1,4]. These impairments are not due to either faster forgetting [1,2,4-6]
7 or increased sensitivity to interference [7]; they are relatively unresponsive to medication
8 [1,8-10], not substantially modulated by age, severity of psychopathology or duration of
9 illness [1], and can be identified in approximately 75% of patients [11].

10 There is now substantial evidence that episodic memory deficits in schizophrenia are
11 largely due to abnormal encoding, even though retrieval may not be entirely spared [1,2,4-
12 6,12,13]. Indeed, several theories have proposed a binding deficit in schizophrenia, whereby
13 event components are poorly linked during encoding. As a consequence, patients would be
14 especially impaired on tasks that rely strongly on such links [14-16].

15 One such account has focused on deficient binding between two kinds of information in
16 memory: object information and spatial contextual information. These two types of
17 information are processed in different brain areas and reach the hippocampus over largely
18 separate routes [17,18]. Talamini et al. [15,16,19] showed, using a computational model, that
19 reduced connectivity observed in the medial temporal lobe of patients with schizophrenia [20]
20 leads to poor integration of these two event components. Importantly, this is related to an
21 overrepresentation of object information at the expense of spatial contextual information. The
22 model was shown to mimic both the memory deficits and the contextual processing deficits
23 associated to schizophrenia [15,16].

24 A specific prediction of the model holds that the normal effects of context on retrieval
25 should be strongly reduced in patients with schizophrenia, as this type of information is

1 poorly integrated into the episodic representation at the time of encoding. As a consequence,
2 recall of objects based on intra-object cues (e.g. a word stem or category cue) should be
3 relatively spared, while recall based on context cues (e.g. the environment where the object
4 was encountered) should be severely impaired. According to this viewpoint, free recall is
5 relatively impaired in schizophrenia, because it requires one to reinstate the learning context
6 and use it to retrieve item information. Recognition, on the other hand, relies to a large extent
7 on memory for individual items [21-23] and is therefore less impaired.

8 We here test the aforementioned prediction using a new paradigm, in which each item is
9 studied against a background picture that functions as its unique context. At test, half of the
10 items are presented in the same context, while half of the object-context pairs are rearranged
11 to produce new combinations. This creates two conditions: one in which unique contextual
12 information is available to aid object retrieval, and one in which it is not. In comparing
13 context effects on retrieval we are using one task, namely recall of words from word stem
14 cues. This is in contrast to other studies of contextual binding [14,24], where comparison is
15 across tasks that may have differed in difficulty and retrieval demands.

16 As predicted by our model, we expect that the context manipulation will have a much
17 smaller effect in patients with schizophrenia than in healthy participants. Moreover, we expect
18 that patients will show a preferential performance impairment when context aids retrieval.
19 What makes this prediction counterintuitive is that patient's deficits should thus be larger
20 when recall is relatively easy (with matching context) than when recall is difficult (with
21 nonmatching context), whereas a standard finding in neuropsychology is that patient's deficits
22 are larger in more difficult tasks. Finally, we predict that recall deficits related to deficient
23 context processing should far outweigh any overall recall deficits on the task.

24 A second aim of this study was to assess episodic binding at the beginning of the illness.
25 All studies on this function thus far concerned chronically ill patients [14,24]. Here we assess

the effects of contextual information on retrieval in patients that recently suffered a first psychotic episode and were diagnosed with schizophrenia, and in healthy controls, matched on sociodemographic variables and estimated IQ. Thus, potential effects of long-term hospitalizations, long-term medication [25,26], or progressive structural brain abnormalities [27-29] on task performance should be minimal.

Methods

Ethics statement

This study was conducted according to the principles expressed in the Declaration of Helsinki. The study was approved by the Ethics Committee of the University of Amsterdam. All subjects gave written informed consent.

Participants

Nineteen patients (4 in-patients and 16 out-patients), which had recently experienced a first psychotic episode were recruited at the Early Psychosis Unit of the Academic Medical Centre of Amsterdam. Inclusion criteria for this study were: patients should be able and willing to give written informed consent, have a diagnosis of recent-onset schizophrenia or a related disorder according to DSM-IV (APA), be between 16 and 26 years of age and be able to understand and speak Dutch. Exclusion criteria were: diagnosis of a primary alcohol- or drug-related psychosis, a demonstrable brain, neurological or endocrine disease, mental retardation and any current or recent morbidity with psychiatric or neurological diagnoses

other than schizophrenia. Additional exclusion criteria for the healthy subjects were occurrence of schizophrenia, or other schizophrenia spectrum disorders, in first-degree relatives. All subjects had normal, or corrected to normal vision and hearing, and used no recreational drugs during testing and in the 48 hours prior to testing.

Clinical discharge diagnoses according to DSM-IV were made with the use of all available diagnostic information (systematic interviews of patients and parents and previous medical records) by two clinical psychiatrists and two residents, after which the diagnoses were reviewed by a research psychologist and a research psychiatrist (LEAD, [30]). Six patients received a DSM-IV diagnosis of schizoaffective disorder, 13 patients were diagnosed with schizophrenia. All patients were stabilized on antipsychotic medication. Mean dose in chlorpromazine equivalents was 233,2 (SD 130,1). Four patients received an SSRI and 2 patients a benzodiazepine additionally.

Nineteen healthy subjects, carefully matched to control subjects with respect to IQ and sociodemographic factors, were recruited through local announcements and were screened to rule out any current or recent psychiatric history.

Table 1 shows sociodemographic variables and estimated IQ scores for patients and control subjects. IQ was assessed using a short version of the Wechsler adult intelligence scale, third edition (WAIS-III; Dutch translation; [31]). Performance IQ was tested using the symbol substitution and block design subtests, and verbal IQ using the arithmetic/calculus and information subtests. There were no statistically significant differences between groups on any of the reported variables (statistical values are given in the table).

Paradigm

Participants studied 40 concrete nouns of between 5 and 10 letters. Each word was

1 presented on a small gray rectangle (6.5 * 2.1 visual degrees) at the centre of the screen
 2 against the background of a color photograph representing a natural or city landscape (see
 3 FIG 1). The background scenes contained no distinguishing objects and each list word was
 4 presented against a different landscape. Participants were instructed to learn the words on
 5 which they would later be tested; learning of the pictures was incidental. The background
 6 scenes are thus contextual in the sense that they are not central to the task; moreover, their
 7 distinctiveness relies mostly on spatial configural information. Picture-word combinations
 8 were randomized anew for each participant. Words were presented twice in the same order for
 9 4 seconds with, in between each word, a gray screen with fixation cross presented for 1
 10 second.

11 Immediately after the last presentation, participants received instructions for the cued
 12 recall test. In the test, they were presented with the first two letters of each list word and
 13 instructed to finish it by typing the rest of the word from the study list. Order of the word
 14 stems was randomized with the proviso that stems for words on the first half of the studied list
 15 were also presented in the first half of the test. The word stem cue was presented on the same
 16 gray square at centre screen as at study, with again a scene in the background. Half the cues
 17 were now combined with the same landscape as at study (*same context* condition), while the
 18 other half of the word cue-landscape pairs were rearranged to form new pairs (*different*
 19 *context* condition). The test was self-paced; participants were instructed to respond with an X
 20 if they could not remember the word.

21 Following the cued recall test an old/new recognition test was administered. For the
 22 recognition task, the 40 previously learned words were intermixed with 40 foil words
 23 (concrete nouns not presented during learning). The attribution of words to the foils and list
 24 items was randomized anew for each participant. Again, half of the studied words were
 25 presented against the same background as at study (these were the same words as in the recall

test), while the other half of the word-landscape pairs was rearranged to form new pairs (these were different combinations than in the recall test). The foil items were also presented against backgrounds viewed during the learning session; each background scene featured behind one foil item. The test was again self-paced; participants responded by pressing the X ('old') or N key ('new').

Statistical analysis

Statistical analysis was performed using the SPSS statistical software package (SPSS Inc, Chicago, Illinois). The cued recall and recognition data were analysed separately, using ANOVA procedures with a between subject factor 'group' (healthy; schizophrenia) and within subject factor 'context condition' (same context; different context). Post hoc tests were independent samples, two-tailed T-tests. In all tests statistical significance was considered at $P < 0.5$.

In the recognition test one patient responded with 'new' to all items. The recognition data of this patient was excluded from statistical analysis.

Results

Figure 2a shows mean cued recall performance of the two groups of participants for the *same context* and *different context* conditions. An ANOVA on the cued recall data, with a between subject factor 'group' (healthy; schizophrenia) and within subject factor 'context condition' (same context; different context) showed that memory was better in the same context condition than in the different context condition (main effect of context condition:

F(1,36)=42.05, $P<0.0001$). There was no main effect of group ($F(1,36)=1.75.05$, $P=0.19$), suggesting no overall memory deficit in the patient group. Importantly, there was an interaction between group and context condition ($F(1,36)=4.41$, $P=0.043$): in healthy subjects word retrieval was aided much more strongly by the presence of the correct background (a 24% benefit over the different context condition) than in the patients (12%). Post-hoc tests showed a substantial difference between groups in the same context condition ($t(36)=2.31$, $P=0.027$), but none in the different context condition ($t(36)<1$).

The same analysis was repeated with global IQ score, age and gender as covariates. Of the covariates, only global IQ score interacted with context condition ($F(1,33)=4.67$, $P=0.038$), reflecting increased use of context information with higher global IQ score. However, this did not alter the outcome of the ANOVA with respect to either the main effects (main effect of context condition: $F(1,33)=7.10$, $P=0.012$; main effect of group: n.s.) or the interaction between group and context condition ($F(1,33)=6.11$, $P=0.019$).

Figure 2b shows mean recognition performance of the two groups of participants. In line with expectations, the recognition data shows no effect of the context manipulation. Moreover, there are no differences between groups in recognition performance: ANOVA with a between subject factor 'group' and within subject factor 'context condition' on hit rates or on the discrimination measure d' (data not shown) reveal no significant main or interaction effects. In accordance with earlier findings in patients [32,33] and predictions of our model [16] there is a trend towards an increased false alarm rate in patients ($t(35)=1.94$, $P=0.06$; Figure 2b, right hand: correct rejection of foils is reduced).

Discussion

1 We evaluated context effects on retrieval in a group of patients with first-episode
2 schizophrenia and a group of healthy control participants. The normal benefit from context
3 cues was strongly diminished in the schizophrenic group. Moreover, this occurred in the
4 absence of an overall memory deficit, since performance on overall word recall and on
5 recognition was similar in patients and controls.

6 Given the very close match between patients and controls on IQ and sociodemographic
7 variables, confounds in our findings from these variables are unlikely. It is equally unlikely
8 that the contextual processing deficit is secondary to a general memory deficit in the patient
9 group, as no such deficit was found. Interpretations of findings in terms of task difficulty or
10 retrieval effort are also implausible, since patients were impaired only on the easier task
11 condition with the matching context. Finally, there are no floor, ceiling or scaling effects in
12 the current set up. Therefore, our findings show a substantial and selective deficit in
13 contextual memory processing in first-episode schizophrenia.

14 The absence of a recognition deficit in our group of patients is in line with meta-
15 analyses showing relatively spared recognition relative to recall in schizophrenia [1,34] and
16 with studies showing milder deficits in first-episode patients than in chronically ill samples
17 [13]. However, a few studies in patients with first-episode schizophrenia, using larger samples
18 than our own, did find recognition deficits with respect to healthy patients [35-37]. We are not
19 aware of other studies assessing word stem-based recall in schizophrenia. Other forms of cued
20 recall generally give deficit levels intermediate between free recall and recognition [1].

21 Our findings confirm the predictions of the Talamini et al model [15,16,19]. According
22 to this model, contextual processing deficits are due to a substantial reduction of connectivity
23 in the mediotemporal lobe in schizophrenia. Such a reduction has been demonstrated by
24 several studies showing massive loss in the density of synaptic and dendritic molecules in the
25 (para)hippocampal region [20,38,39], which is in fact also the brain region showing the

1 largest volumetric reduction in schizophrenia. The crucial role of these areas in binding
2 components of events into episodic representations has long been established, and several
3 studies have linked memory deficits in schizophrenia to abnormalities in these regions [40-
4 42].

5 In our model, reduced mediotemporal lobe connectivity leads to fragmented episodic
6 representations, in which objects are overrepresented at the expense of spatial contextual
7 information. Retrieval is, therefore, much more dependent on object than context cues. What
8 happens during retrieval in schizophrenia, in the condition with the correct background image
9 (Figure 3), is that the background image activates an abnormally small part of a previously
10 learned episodic representation, which is moreover not well connected to the rest of the
11 episodic pattern. Therefore, the contextual background cue contributes little to reactivation of
12 the previously stored representation. On the other hand, the word stem cue activates a larger
13 than normal part of the previously stored representation, thus serving as an efficient retrieval
14 cue in both context conditions.

15 The existence of a binding deficit in schizophrenia is consistent with several studies that
16 explicitly investigated memory for new associations between objects, spatial and temporal
17 aspects of an event. Some such studies show severe deficits in tasks in which performance
18 relies entirely on newly formed associative links between stimuli; for instance, in associative
19 recognition, in which item pairs are pitted against recombined pairs [24,43]. Other studies
20 report schizophrenia-related impairments for retrieval of the contextual aspects of events,
21 including spatial and temporal context [14,24,44,45], as well as other types of source
22 information [43,46-50]. Finally, it has been reported that recognition performance in patients
23 with schizophrenia relies to a far larger extent on familiarity than in healthy subjects
24 [43,51,52].

25 Taken together, these studies show that patients with schizophrenia are impaired at

1 using new links to retrieve an entire event from partial cues. However, this does not prove the
2 wider claim made by Talamini et al. [15,16], which states not only that binding disparate
3 information is difficult for patients with schizophrenia, but also that their memory problems
4 are *largely due* to binding deficits. It is the inability to form well-bound episodic
5 representations that, according to Talamini et al. [15,16], leads to deficits in recall. Published
6 studies are mostly tangential to this issue, as they tend to compare recall of contextual
7 information with recall of item information.

8 In contrast, the current study compares context conditions within one recall paradigm
9 and allows us to investigate the effect of context processing on item recall itself. Surprisingly,
10 we found no notable deficit in cued recall based solely on word stems, without the aid of
11 context. As explained above, our model predicts this, because the word stem, as an intra-
12 object cue, is relatively effective in a situation of decreased connectivity in the MTL. Our
13 results suggest that, at least in samples of recent-onset patients, long-term memory deficits
14 may be limited to the diminished effects of context.

15 Nevertheless, poor integration of event components in schizophrenia may have a
16 profound influence on cognition. We have recently argued [16] that the effects are not limited
17 to long-term memory. Instead, they may affect the way in which events are perceived in the
18 first place, leading to problems in any task requiring the linking of stimuli over time and
19 space. For instance, reduced MTL connectivity in our model produces a deficit in selecting
20 subordinate responses over dominant ones based on context information. Deficits of this
21 nature have been observed repeatedly in schizophrenia, for instance in lexical disambiguation
22 [53-57] and ‘contextual’ versions of the Stroop task and continuous performance task [53,58].
23 We have moreover argued that binding deficits may contribute to central schizophrenia
24 symptoms such as contextually inappropriate behavior, associative abnormalities,
25 conversational drift, concreteness and delusions [16].

1 In conclusion, we have demonstrated pronounced attenuation of context effects on
2 retrieval in schizophrenia using a set-up that disentangles contextual memory processing from
3 other aspects of memory. We found no difference in cued recall once contextual cueing was
4 taken away. We thus conclude that contextual processing deficits may constitute a core
5 dysfunction underlying the schizophrenia memory deficits profile. These findings corroborate
6 the Talamini et al. [15,16] model, in which reduced mediotemporal connectivity produces a
7 binding deficit that is inextricably linked to a dominance of object information over spatial-
8 configural aspects of events.

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Figure legends

Figure 1. Paradigm used

Participants studied forty words with, as background, a color photograph of an indoor or outdoor scene (picture not to scale). The first test consisted of a cued recall test in which participants had to complete word stems of studied words with a word from the studied list. Half of the word stems were presented with the same scene on the background as during learning (*same context* condition), half with a different scene on the background (*different context* condition). A second test (not shown) was a recognition test with, again, same or different scenes in the background.

Figure 2. Retrieval performance in the ‘same’ and ‘different’ context conditions

Mean cued recall (a) and recognition (b) of words in the *same context* and *different context* conditions, for patients and matched controls. Error bars give 95% confidence intervals for the means.

Figure 3. Integration of object and spatial information in the parahippocampal regions of the model

The four modules of the model are shown in light grey; the active patterns in the four modules are shown as white rectangles. Only the nodes making up the active pattern in the entorhinal module are depicted. (a) In the normal model there is considerable convergence of input connections on entorhinal nodes (overlap area of projections from the active object and context patterns). Thus, when an object-context pairing is being learned, many entorhinal nodes get input from both the object pattern and the context pattern. However, reduction of the input projections (b) reduces the probability that a given entorhinal node receives input

1 from both sources. This favors the inclusion of nodes receiving only context- or only object
2 input in entorhinal representations. Since single object projections are stronger than single
3 context projections, neurons receiving only object input have a higher chance of winning the
4 competition for activation than neurons receiving only context input. Thus object information
5 gets overrepresented in the entorhinal pattern, at the expense of context information. Due to
6 this circumstance, object cues activate large parts of entorhinal patterns and can lead to
7 retrieval irrespective of context cues. Conversely, isolated context cues activate only a small
8 portion of associated entorhinal patterns, which is often insufficient for successful retrieval.
9 EC: entorhinal cortex; Hip: hippocampus.

10

11

1 **Tables**

2

3 Table1. Demographic characteristics

4

| | <i>Schizophrenia sample</i> (<i>n=19</i>) | | <i>Healthy sample</i> (<i>n=19</i>) | |
|--------|--|-----------|--|-----------|
| | M | SD | M | SD |
| Age | 22.26 | 3.28 | 22.68 | 3.22 |
| IQ | 99.11 | 9.89 | 101.47 | 8.60 |
| Gender | 3 f / 16 m | | 3 f / 16 m | |

5 M=mean, SD=standard deviation, f=female, m=male

Study



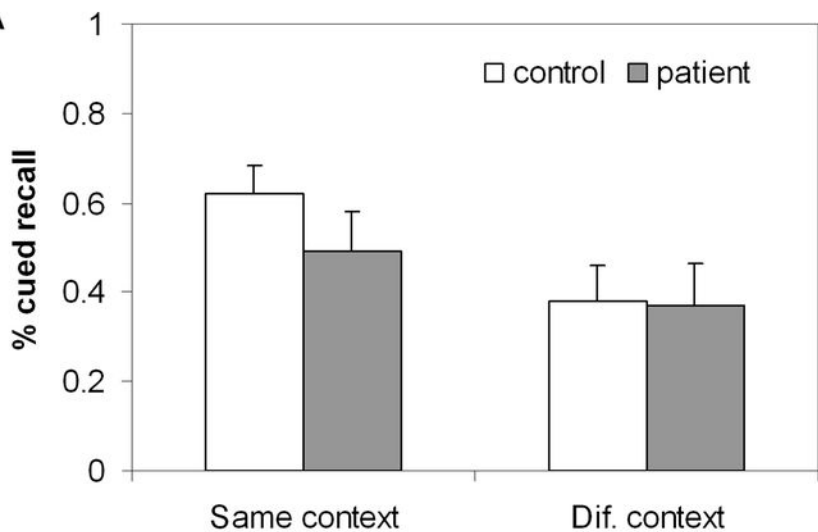
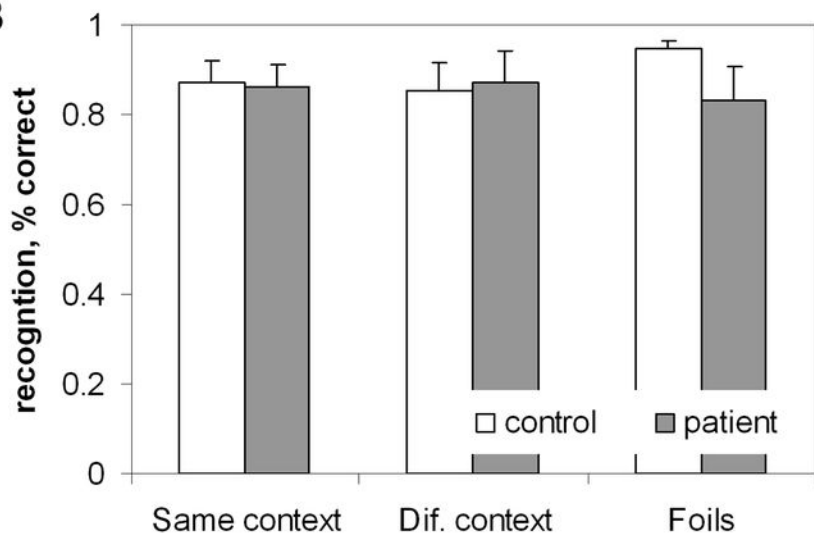
Test

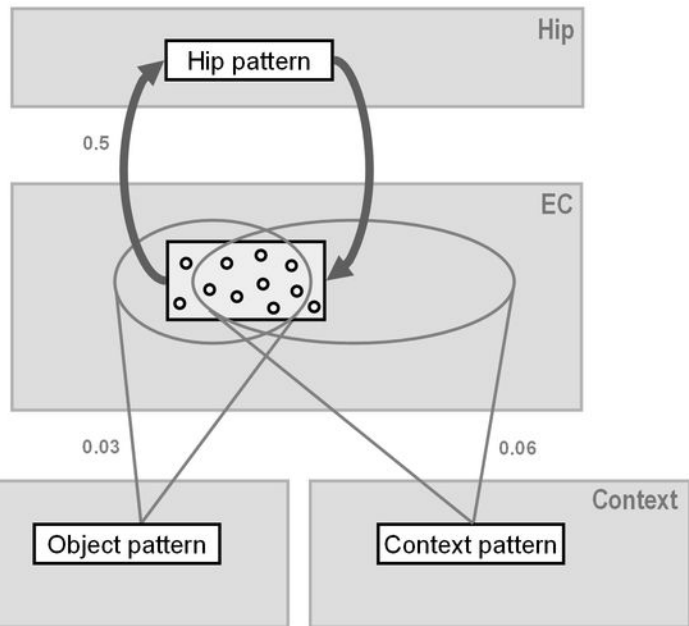


same
context
condition



different
context
condition

A**B**

A**Intact model****B****'Schizophrenic' Model**